



4360

SHH

2152

Dec. 13, 2001

Initial Examination
Patent & Trademark Office
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

Re: Application No. 09/910,608
Notice of Incomplete Reply
Confirmation No. 2166

RECEIVED

MAR 27 2002

Technology Center 2100

NOTICE

The above Confirmation letter was mailed on 10/26/01 (see Exhibit A, attached).

The inventor's reply was mailed on Nov. 2, 2001 (see Exhibit B and (C)).

Therefore, the inventor did not abandon the patent application.

However, the inventor did not receive a signed return receipt from the PTO, as of today - and the postmaster is currently doing a search to find out where the mailing is (he gave me his card, see below, and told me to call him at 4:30 PM, today).

Thank you,

James A. Lehman

P.O. Box 373

Fond du Lac, WI 54936

P.S. THE SUBSTITUTE SPECIFICATION
IS NOT INCLUDED IN THIS MAILING.

RECEIVED

MAR 29 2002

OFFICE OF PETITIONS

WILLIAM F. "BILL" TIERNEY

POSTMASTER

FOND DU LAC POST OFFICE

(CALL 4:30pm
DEC 13, 2001)



99 W 2ND ST

FOND DU LAC WI 54935-9998

920-921-2616 FAX: 920-921-0177

www.usps.com



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,608	07/23/2001	James A. Lehman	

JAMES A. LEHMAN
P.O. BOX 373
FOND DU LAC, WI 54936**RECEIVED**
MAR 27 2002
Technology Center 2100

CONFIRMATION NO. 2166

FORMALITIES LETTER



OC00000006981421

Date Mailed: 10/26/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**Filing Date Granted**

The U.S. Patent and Trademark Office has received your reply on 09/27/2001 to the Notice to File Missing Parts (Notice) mailed 09/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- Total additional claim fee(s) for this application is \$261.
 - \$126 for 14 total claims over 20.
 - \$135 for multiple dependent claim surcharge.
- The balance due by applicant is \$ 261.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Specification, claims, or abstract pages are not typewritten or mechanically printed in permanent dark ink on one side (See 37 CFR 1.52(a) and (b)).
- The specification contains drawings or flow diagrams (37 CFR 1.58(a)). Formal drawings in accordance with 37 CFR 1.81 should be submitted.

EXHIBIT A

COPY



IN THE UNITED STATES PATENT OFFICE

Application Number: 09/910,608

Re: CONFIRMATION NO. 2166
FORMALITIES LETTER

Application Filed: 7/23/2001

and, NOTICE OF INCOMPLETE
REPLY (NONPROVISIONAL)

Applicant: James A. Lehman

Mailed: 10/26/01

RESPONSE

COPY OF PA
ORIGINALLY FILED

Enclosed is a substitute specification, and claims, and abstract which are totally typewritten, and are therefore in compliance with 37 CFR 1.52.

Regarding the specification you will note that the appendix papers (which were greatly marked up, with hand written notations - some being fully handwritten etc.) have been withdrawn on the advice of Mark Polutta, Legal Assistant at the PTO, who told me that would be a simple way to deal with the objections.

Further, it must be noted that the appendixes were "frosting on the cake" and not at all necessary to explain the invention. However, I told Mark that I would like to have them made a part of the file folder so as to "help the office action examiner to fully understand the invention" and Mark said I then should file an IDS (Information Disclosure Statement, PTO form SB08) in a month or two, which I will do. Note: I called Mark on Oct. 30, 2001, at 10:00 AM, approximately, Central Time.

Regarding the matter of "formal drawings" I spoke with Robert Clark, Legal Assistant at the PTO, and he told me to state "Disregard previously submitted papers of Sept. 24, 2001 and accept the new specification provided. And, utilize the drawings as originally filed with the patent application, disregarding the drawings which were mistakenly intertwined with the specification of Sept. 24., which was mailed in response to the

EXHIBIT B

RECEIVED

MAR 27 2002

Technology Center 2100

RECEIVED

MAR 29 2002

OFFICE OF PETITIONS

formalities letter of 9/14/2001." Note: I spoke with Robert also on Oct. 30, 2001, at approximately 10:50 AM, Central Time.

Finally, I enclose my check for \$261.00 for the balance due by applicant.

Respectfully,

A handwritten signature in black ink, appearing to read "James A. Lehman". The signature is fluid and cursive, with the first name "James" and last name "Lehman" clearly distinguishable.

James A. Lehman
P. O. Box 373
Fond du Lac, WI 54936

Mailed via Certified Mail # 7000 0600 0029 1611 7035
with a Return Receipt on Nov. 2, 2001

- 2 -

X

Very

important



SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:
CUSTOMER SERVICE CENTER
INITIAL PATENT EXAMING DIV.
U.S. PATENT AND TRADEMARK
OFFICE

WASHINGTON, D. C.

20231

COMPLETE THIS SECTION ON DELIVERY

A. Received by (Please Print Clearly) B. Date of Delivery

C. Signature

X

☐ Agent
☐ Addressee

D. Is delivery address different from item 1?

If YES, enter delivery address below:

☐ Yes
☒ No

RECEIVED

MAR 27 2002

Technology Center 2100

3. Service Type

☒ Certified Mail ☐ Express Mail
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? (Extra Fee)

☐ Yes

2. Article Number (Copy from service label)

7000 0600 0029 1611 7035

PS Form 3811, July 1999

Domestic Return Receipt

102595-00-M-0952

COPY OF PAPERS
ORIGINALLY FILED

Fond du Lac Post Office
FOND DU LAC, Wisconsin
549354158
(920)921-2616 10:10:37 AM

Product Description	Sales Receipt Qty	Unit Price	Final Price
WASHINGTON DC 20231			\$1.26
First-Class			\$1.50
Return Receipt			\$2.10
Certified			\$2.10
Label Serial #: 7000060002916117035			

Issue PVI:

Total: \$4.86
Paid by: \$4.86
Cash
Change Due: \$5.00
-\$0.14

Bill #: 1000600633761
Clerk: 06

Thank you for your business

(voided)

**U.S. Postal Service
CERTIFIED MAIL RECEIPT**

(Domestic Mail Only; No Insurance Coverage Provided)

7000 0600 0029 1611 7035

Postage	\$
Certified Fee	\$
Return Receipt Fee (Endorsement Required)	\$
Restricted Delivery Fee (Endorsement Required)	\$
Total Postage & Fees	\$

Postmark Here

Recipient's Name (Please Print Clearly) (to be completed by mailer)
CUSTOMER SERVICE CENTER/INITIAL PAT. EX. DIV.
Street, Apt. No., or PO Box No.
US PATENT AND TRADEMARK OFFICE
City, State, ZIP+4
WASHINGTON, D.C. 20231

PS Form 3800, February 2000

See Reverse for Instructions

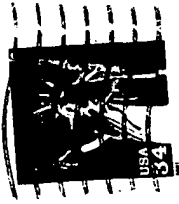
EXHIBIT C

RECEIVED

MAR 29 2002

OFFICE OF PETITIONS

J. L. Johnson
P.O. Box 373
Fond du Lac, WI
54936



Initial Examination
U.S. Patent & Trademark Office
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

MAR 26 2002

RECEIVED

